



ZFW

PATENT  
Attorney Docket No. 3180.0326

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
TADASHI IGUCHI ET AL. )  
Application No.: 10/612,033 ) Group Art Unit: 2826  
Filed: July 3, 2003 ) Examiner: K. Quinto  
For: SEMICONDUCTOR MEMORY ) Confirmation No.: 5852  
PREVENTING AN ELECTRIC )  
SHORT CIRCUIT BETWEEN A )  
WORD LINE AND A )  
SEMICONDUCTOR SUBSTRATE, )  
AND MANUFACTURING METHOD )  
FOR THE SEMICONDUCTOR )  
MEMORY (as amended) )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), applicants bring to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement includes a certification as specified by Section 1.97(e).

Each document listed in this Information Disclosure Statement was first cited in a Notification of Reasons for Refusal issued by the Japanese Patent Office on October 31, 2006 in a counterpart Japanese application, and this Information Disclosure Statement is being filed within three months of the mailing date of the Notification.

Copies of the listed foreign and non-patent literature documents are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

In lieu of a statement of relevance or translation of the non-English documents, an English language version of the Notification of Reasons for Refusal issued by the Japanese Patent Office citing these documents and setting forth the relevance thereof is enclosed. In addition, Applicants provide English-language abstracts of the listed Japanese publications.

Applicants note that Japanese Publication Nos. 2002-83884 and 8-125148 are cited and discussed in the attached Notification. Applicants submitted these Japanese publications with the Information Disclosure Statement filed July 3, 2003. Therefore, these Japanese publications are not listed on the attached Form SB/08 nor are they provided herewith.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants

reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

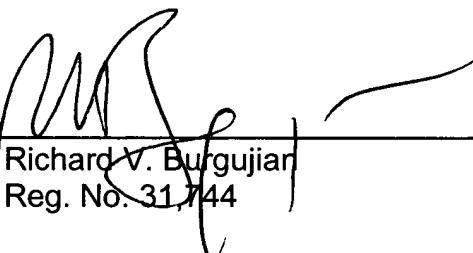
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: 1/11/07

By: 

Richard V. Burguiar  
Reg. No. 31,744

IDS Form PTO/SB/08: Substitute for form 1449A/PTO

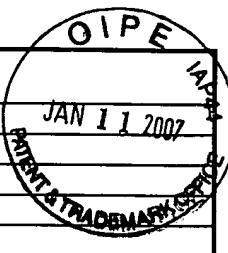
**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet	1	of	1
-------	---	----	---

**Complete if Known**

Application Number	10/612,033
Filing Date	July 3, 2003
First Named Inventor	Tadashi Iguchi
Art Unit	2826
Examiner Name	K. Quinto
Attorney Docket Number	3180.0326



**U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS**

Examiner Initials	Cite No. <sup>1</sup>	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-			

**Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.**

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		JP 2001-274367	10/5/01	Toshiba Corp.		Abstract
		JP 11-177066	7/2/99	Sony Corp.		Abstract

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>
		Notification of Reasons for Refusal issued by the Japanese Patent Office on October 31, 2006, for Japanese Patent Application No. 2002-338452, and English-language translation thereof	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.